



Test Script #7 - Self Attestation

Summary

Test Script #7 will outline documentation requirements and any other items to which the Applicant will “self-attest” that it meets the Department of Mental Health (DMH) certification criteria.

Documentation Review

For all procedural items in this test script #7, the Applicant will provide the following self attestation information: 1) clear identification of the functions assigned to a third party (where assignable); 2) a statement explaining how the product complies with the criteria; and 3) supporting documentation as evidence of the product’s compliance.

DMH provides a Self Attestation Submission Form that Applicants must use to submit self attestation materials to DMH.

It is intended that supporting documentation that describes the product’s compliance with the certification criteria be provided to purchasers of the system. As a result, DMH may require proof that Applicant documentation supplied to purchasers of the product contains this information.

Test Step		Expected Result	Actual Result	Pass/Fail	
7.01	If you assigned R1, provide documentation that the system supports a full backup of the application data, security credentials and log/audit files.	Applicant provides the required documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail

Test Step		Expected Result	Actual Result	Pass/Fail	
7.02	If you assigned R1 and R3, provide documentation that system shall have the ability to operate/function when a backup is run concurrently with the operation of the application.	Applicant provides the required documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail
7.03	If you assigned R1 and R2, provide documentation that the system supports a restore of the application data, security credentials and log/audit files.	Applicant provides the required documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail

Test Step		Expected Result	Actual Result	Pass/Fail	
7.04	If you assigned S23, provide documentation describing how the system interacts with the component that satisfies the method used to create, modify, and remove user accounts.	Applicant provides documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail
7.05	If you assigned S13, provide documentation describing how the system interacts with the component that supports password strength rules as per S13.	Applicant provides documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail

Test Step		Expected Result	Actual Result	Pass/Fail	
7.06	If you assigned S15, provide documentation describing how the system interacts with the component that supports authentication requirements as per S15.	Applicant provides documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail
7.07	If you assigned S20, provide documentation describing how the system interacts with the component that supports case sensitive passwords as per S20.	Applicant provides documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail

Test Step		Expected Result	Actual Result	Pass/Fail	
7.08	If you assigned S17, provide documentation describing how the system interacts with the component that supports authentication as per S17.	Applicant provides documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail
7.09	If you assigned S12, provide documentation describing how the system interacts with the component that supports authentication as per S12.	Applicant provides documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail
7.10	If you assigned S26, provide documentation describing how the system interacts with the component that supports password use per S26.	Applicant provides documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail

Test Step		Expected Result	Actual Result	Pass/Fail	
7.11	If you assigned S18, provide documentation describing how the system interacts with the component that supports usernames per S18.	Applicant provides documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail
7.12	If you assigned S14, provide documentation describing how the system interacts with the component that supports inactivity timeout per S14.	Applicant provides documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail
7.13	If you assigned S19, provide documentation describing how the system interacts with the component that supports password use per S19.	Applicant provides documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail

Test Step		Expected Result	Actual Result	Pass/Fail	
7.14	If you assigned S7, provide documentation describing how the system interacts with the component that supports audit information per S7.	Applicant provides documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail
7.15	If you assigned S16.1, provide documentation describing how the system interacts with the component that supports password use per S16.1.	Applicant provides documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail

Test Step		Expected Result	Actual Result	Pass/Fail	
7.16	If you assigned S16.2, provide documentation describing how the system interacts with the component that supports users to change password after the password is reset by an administrator as per S16.2.	Applicant provides the required documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail
7.17	If you assigned R17, provide documentation describing how the system interacts with the component that supports data integrity per R17.	Applicant provides the required documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail
7.18	If you assigned S8.1, provide documentation describing how the system interacts with the component that supports time synchronization per S8.1.	Applicant provides required documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail

Test Step		Expected Result	Actual Result	Pass/Fail	
7.19	If you assigned S24, provide documentation describing how the system interacts with the component that supports protection of confidentiality per S24.	Applicant provides required documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail
7.20	If you assigned S28, provide documentation describing how the system interacts with the component that supports protection of integrity per S28.	Applicant provides required documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail

Test Step		Expected Result	Actual Result	Pass/Fail	
7.21	If you assigned S29, provide documentation describing how the system interacts with the component that supports ensuring authenticity of remote nodes per S29.	Applicant provides required documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail
7.22	If you assigned S27, provide documentation describing how the system interacts with the component that supports SSL configuration per S27.	Applicant provides the required documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail
7.23	If you assigned S21, provide documentation describing how the system interacts with the component that supports password use per S21.	Applicant provides the required documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail

Test Step		Expected Result	Actual Result	Pass/Fail	
7.24	If you assigned S25, provide documentation describing how the system interacts with the component that supports secure transport of passwords per S25.	Applicant provides the required documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail
7.25	If you assigned S22, provide documentation describing how the system interacts with the component that prevents the reuse of passwords previously used within a specific timeframe as per S22.	Applicant provides the required documentation.		<input type="checkbox"/> Pass	<input type="checkbox"/> Fail